Applicant Compliance Record

Kentucky Department for Environmental Protection Division of Waste Management Hazardous Waste Branch 300 Sower Blvd, Frankfort, KY 40601 (502) 564-6716 DO NOT WRITE IN THIS SPACE

Kentucky Revised Statutes (KRS) 224.40-330 require all Applicant's for the issuance, renewal, major modification(s), or transfer of a hazardous waste management facility permit and each person who is a key personnel of the Applicant, to submit the following information to the Cabinet before the desired permit may be granted. This compliance record is also required prior to a change in key personnel of the Applicant.

1. ID Numbers	EPA ID Number: KY Agency Interest Number:		Date: / /	
2. Applicant Information	Applicant's Complete Name:			
information	Social Security Number:		State of Incorporation or Regis	stration:
	Business Address (do not use P.O. Box Number):			
	Mailing Address:			
	City:			
	County:	State:	Zip Code:	
3. Key Personnel	List the names of "Key Personnel" as defined by 224.1-010 (44), and their positions and titles (Attach additional sheets if necessary). For each "Key Personnel" listed, a completed "Key Personnel Performance Record DEP Form 7087H2", must be attached to this Applicant's Compliance Record. The number of Key Personnel Performance Records attached to this Applicant's Compliance Record is:			
	1. Name:		Title/Positions:	
	2. Name:		Title/Positions:	
	3. Name:		Title/Positions:	
	4. Name:		Title/Positions:	
	5. Name:		Title/Positions:	
	6. Name:		Title/Positions:	
	7. Name:		Title/Positions:	
4. Applicant's Experience Managing Hazardous Waste	Describe the Applicant's experience in managing hazardous waste. (Attach additional sheets if necessary.):			

5.	Permits or Licenses Presently Held by	List all permits or licenses relating to <u>hazardous waste</u> management presently held by the Applicant in the United States. (Attach additional sheets if necessary.):		
		1. Facility Name:	Permit/License No:	
	Applicant	Facility Location:	Issuing Authority or Agency:	
		2. Facility Name:	Permit/License No:	
		Facility Location:	Issuing Authority or Agency:	
		3. Facility Name:	Permit/License No:	
		Facility Location:	Issuing Authority or Agency:	
		4. Facility Name:	Permit/License No:	
		Facility Location:	Issuing Authority or Agency:	
6.	Permits or Licenses Held within	List all permits or licenses relating to <u>hazardous waste</u> management held by the Applicant within the last five (5) years <u>not</u> listed in response to Item 5 above. (Attach additional sheets if necessary.):		
	the Last 5	1. Facility Name:	Permit/License No:	
	Years by the Applicant	Facility Location:	Issuing Authority or Agency:	
	дрисан	2. Facility Name:	Permit/License No:	
		Facility Location:	Issuing Authority or Agency:	
		3. Facility Name:	Permit/License No:	
		Facility Location:	Issuing Authority or Agency:	
		4. Facility Name:	Permit/License No:	
		Facility Location:	Issuing Authority or Agency:	
	Experience Managing Solid Waste			
8.	Permits or Licenses	List all permits or licenses relating to <u>solid waste</u> management presently held by (Attach additional sheets if necessary.):	y the Applicant in the United States.	
	Presently	1. Facility Name:	Permit/License No:	
	Held by Applicant	Facility Location:	Issuing Authority or Agency:	
		2. Facility Name:	Permit/License No:	
		Facility Location:	Issuing Authority or Agency:	
		3. Facility Name:	Permit/License No:	
		Facility Location:	Issuing Authority or Agency:	
		4. Facility Name:	Permit/License No:	
		Facility Location:	Issuing Authority or Agency:	

9. Permits or Licenses	List all permits or licenses relating to solid waste management presently held by the Applicant within the last five (5) years not listed in response to Item 8 above. (Attach additional sheets if necessary.):			
Held within	1. Facility Name:	Permit/License No:		
the Last 5 Years by the	Facility Location:	Issuing Authority or Agency:		
Applicant	2. Facility Name:	Permit/License No:		
	Facility Location:	Issuing Authority or Agency:		
	3. Facility Name:	Permit/License No:		
	Facility Location:	Issuing Authority or Agency:		
	4. Facility Name:	Permit/License No:		
	Facility Location:	Issuing Authority or Agency:		
10. Complaints That Resulted in a Fine or Penalty	Have any civil or administrative complaints within the United States or it against the Applicant for the violation of any local, state, or federal environm in a fine or penalty greater than one thousand dollars (\$1,000) within the preceding the submission date of the Applicants permit application? If yes, describe each civil or administrative compliant which resulted in the description the style of the compliant; the case, file or other identifying num in the compliant; the forum in which the compliant was filed; the identity of that was involved with or named in the compliant; the amount of the fines, penalty has been paid; the identity and description of each law or regulativiolated and upon which the fine(s) or penalties(s) is/are based; and state settlement or agreed order, an administrative order, or a court judgment. It orders or judgments entered and describe the current status of the littin necessary)	nental protection law which resulted the five (5) year period immediately the five (5) year period immediately the fine or penalty. Include in the ber, the identity of all parties named the each local, state, or federal agency for penalties(s); whether the fine or on violated or alleged to have been whether the fine was the result of a filtigation is ongoing, describe any		

11. Complaints	Have any civil or administrative complaints been brought or filed against the Applicant which alleges that an act or omission that constitutes a violation of a local, state, or federal environmental protection law or regulation presented a substantial endangerment to public health or to the environment? Yes No		
	If yes, describe each civil or administrative compliant containing the allegation of the act or omission presenting a substantial endangerment to public health or the environment. Each description shall include at a minimum the following; the style of the compliant; the case, file or other identifying number; the identity of all parties named in the compliant; the forum in which the compliant was brought; the identity of each local, state, or federal agency that was involved with or named in the compliant; an explanation of the allege act or omission; the identity and description of the environmental protection law or regulation alleged to have been violated; an explanation of all corrective action measures performed to correct or mitigate the alleged violation(s); a description of the alleged endangerment to public health or to the environment; and state whether the issues raised in the compliant have been resolved, and if so, whether the resolution was the result of a settlement or agreement, an administrative order or a court order or judgment. If litigation on the allegation(s) is ongoing, describe any orders or judgments entered and describe the present status of the litigation. (Attach additional sheets if necessary)		
12. Pending Complaints	Describe all pending criminal complaints which allege the Applicant's violation of a local, state, or federal environmental protection law or regulation. If none, write NONE. Each description below must include at a minimum the style of the case and the identifying case number, the date the compliant was filed, the forum in which the compliant is pending, the identity of the law or regulation allegedly violated and a narrative description of the law or regulation, whether the alleged violation(s) is a misdemeanor or a felony, and all actions and pleadings which have occurred or been filed in association with the compliant. Criminal complaints filed more than five (5) years prior to the date of submission of the Applicant's permit application need not be listed. (Attach additional sheets if necessary.)		

	Judgments More Than 5 Years Prior to Submittal	Describe all judgments of criminal conviction entered against the Applicant for the violation of any local, state, or federal environmental protection law or regulation. Convictions entered more than five (5) years before the submission date of the Applicant's permit application need not be listed. Each description given must include at a minimum the following (United States or Territories): Style, case number; forum in which conviction entered; date of judgment; sentence imposed; the identity and a description of each law applicant were convicted of violating; whether the conviction was the result of a plea agreement or a trial; and, if currently on appeal, the status of the appeal. (Attach additional sheets if necessary.)
14.	Judgments Within 5 Years of Submittal	Describe all judgments of criminal conviction of a felony under the law of any local, state, or the United States that have been entered against the Applicant within the five (5) year period preceding the date of submission of the Applicant's permit application. Each description given must include at a minimum the following (United States or Territories): Style, case number; forum in which conviction entered; date of judgment; sentence imposed; the identity and a description of each law applicant were convicted of violating; whether the conviction was the result of a plea agreement or a trial; and, if currently on appeal, the status of the appeal. (Attach additional sheets if necessary.)

15. Financial	List the names and address for all waste sites, waste facilities, solid waste management facilities, and hazardous waste management facilities in the United States or Territories in which the Applicant has a financial interest, or in which the Applicant is an officer, director or manager, and identify the nature of the Applicant's interest or involvement.				
	1. Site/Facility Name:				
	Site/Facility Address (Do not use P.O. Box number):				
	City:	State:	Zip:		
	Type of Interest:				
	Nature of Interest:				
	2. Site/Facility Name:				
	Site/Facility Address (Do not use P.O. Box number):				
	City:	State:	Zip:		
	Type of Interest:				
	Nature of Interest:				
	3. Site/Facility Name:				
	Site/Facility Address (Do not us	e P.O. Box number):			
	City:	State:	Zip:		
	Type of Interest:				
	Nature of Interest:				
	4. Site/Facility Name:				
	Site/Facility Address (Do not use	e P.O. Box number):			
	City:	State:	Zip:		
	Type of Interest:				
	Nature of Interest:				
		CERTIFICATION			
	ecuting this document on behalf of a coation or other entity and provide the interest of the coation of the coa	orporation or other entity, certifies that she formation contained herein.	or he is duly authorized to act on		
	D HEREBY SWEARS OR AFFIRMS UND ORD IS COMPLETE, TRUE AND ACCUR	ER PENALTY OF PERJURY THAT THE INFO	OMRATION PROVIDED IN THIS		
	Print Name		Title		
	Signature		Date		
STATE OF		COUNTY OF			
Subscribed and swe	orn to before me by 0	this is th	e day of		
My Commission Ex	pires:		TARY PUBLIC		
Notary Public's Nan	ne and Address:				
Any person who kno	wingly provides false information in any d	locument filed or required to be maintained u	nder KRS Chapter 224 will be guilty of a		

Any person who knowingly provides false information in any document filed or required to be maintained under KRS Chapter 224 will be guilty of a Class D felony, and upon conviction thereof will be punished by a fine not to exceed twenty-five thousand dollars (\$25,000), or by imprisonment for a term of not less than one (1) year and not more than five (5) years, or by both fine and imprisonment.